

## RESEARCH ARTICLE

# Correlations between HER2 Expression and Other Prognostic Factors in Breast Cancer: Inverse Relations with the Ki-67 Index and P53 Status

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## Abstract

**Background:** Overexpression or amplification of human epidermal growth factor receptor-2 (HER2) is associated with grade of malignancy and a poor prognosis in breast cancer (BC). The aim of this study was to evaluate of value of HER2 as a prognostic marker, and to analyze associations with common histopathological parameters in BC cases. **Materials and Methods:** Between of 2007 to 2014, 260 patients with BC referred to Oncology Clinic provided cancer tissue samples which underwent immunohistochemistry (IHC) for markers. ER and PR positivity was defined as  $\geq 10\%$  positive tumor cells with nuclear staining. HER2-positive was defined as either HER2 gene amplification by fluorescent in situ hybridization (FISH) or scored as 3+ by IHC. For HER2 (2+), FISH was performed to determine HER2 positivity. **Results:** The mean age at diagnosis for the patients with HER2-negative was significantly higher than in HER2-positive cases. Also, there were significant correlations between histological grade, nuclear grade, lymph node metastasis, tumor size, ER status, PR status, p53 overexpression and Ki-67 index with HER2 expression. HER2-negative lesions were of higher grade and more likely to be ER-negative, PR-negative, p53-positive, lymph node metastasis, with a tumor size  $< 2\text{cm}$  and also  $\text{Ki-67} \geq 20\%$  as compared to the HER2-positive group. **Conclusions:** Contrary to the results of other studies, HER2-positive tumors in our study had a lower Ki-67 index and were p53-positive. Also, Ki-67 proliferation index  $\geq 20\%$  in more studies was associated with p53-positive. Therefore, tumors which are HER2-positive and have a  $\text{Ki-67} \geq 20\%$  had a more aggressive behavior compared to HER2-positive and  $\text{Ki-67} < 20\%$  lesions.

**Keywords:** Breast cancer - HER2 - Ki-67 - immunohistochemistry - FISH - Western Iran

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## Introduction

Breast cancer (BC) is the most frequent malignancy among women and can be a leading cause of death through middle-aged women that adjuvant chemotherapy, commonly include alkylating agents and anthracyclines, improves survival rate in operable BC (Payandeh et al., 2015a). Recent attention has been directed singularly at molecular classifications of BC (Carey et al., 2006). Human epidermal growth factor receptor-2 (HER2) is a marker of poor prognosis in BC (Ménard et al., 2001). Most studies performed to evaluate the HER2 status were conducted in western countries and compared prognostic value of HER2 in anthracyclines-based to non anthracyclines-based regimens.

In different studies performed in a number of countries, HER2 amplification was found in 20-30% of breast malignancies (Fountzilas et al., 2012), but in some countries such as Lebanon the higher percentage was reported (el AT et al., 2000). The factors investigated included the

presence or absence of lymph node metastasis, nuclear grade, ER/PR status, proliferation (Ki-67), HER2 and p53 overexpression. Immunostaining for ER, PR, p53, Ki-67 and HER2 was carried out as previously described (Kai et al., 2006). Ki-67 is present in all proliferating cells, and there is great interest in its role as a proliferation marker (Nishimura et al., 2010). The proliferation marker Ki-67 is one of the most controversially discussed parameters for treatment decisions in breast cancer patients (Inwald et al., 2013). The prognostic impact of HER2 positivity is reported to be lower in lymph node-negative compared with node-positive women (Ménard et al., 2001). BC aggressiveness can be correlated with proliferation status of tumor cells, which can be ascertained with reference to tumor grade as well as in terms of the Ki67 index (Haroon et al., 2013).

The purpose of this study was to evaluate of value of HER2 as a prognostic marker, and to analyze the associations between HER2 and common histopathological parameters in breast cancer.

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## Materials and Methods

### Patients

Between 2007 to 2014, 260 patients with BC referred to Oncology Clinic in Kermanshah city, Iran. We analyzed age, sex, grade, tumor size, vascular invasion, hormone receptors status, p53 overexpression, Ki-67 index in the patients. A sufficient sample size was selected from any patient and the slides were stained by hematoxylin and eosin method. Then 4-micron sections were prepared for staining with H & E for IHC markers (Ki-67, ER, PR, p53 and HER2). ER and PR positivity was defined as  $\geq 10\%$  positive tumor cells with nuclear staining. HER2-positive was defined as either HER2 gene amplification by fluorescent in situ hybridization (FISH) or scored as 3+ by IHC (Ahmadi et al., 2015). For HER2 (2+), FISH was performed to determine HER2 positivity. Age, tumor size, lymph node involvement, histological grade, nuclear grade, vascular invasion, p53 and Ki-67 index were other factors that determined in a lot of patients. Ki-67 index was divided to scores ( $<20\%$  and  $\geq 20\%$ ).

### Statistical analysis

The correlation between the variables was done by IBM SPSS statistics 19. Chi-square test was used to analyze the significance of correlation between the expression of HER2 and other parameters and  $P < 0.05$  was considered significant.

## Results

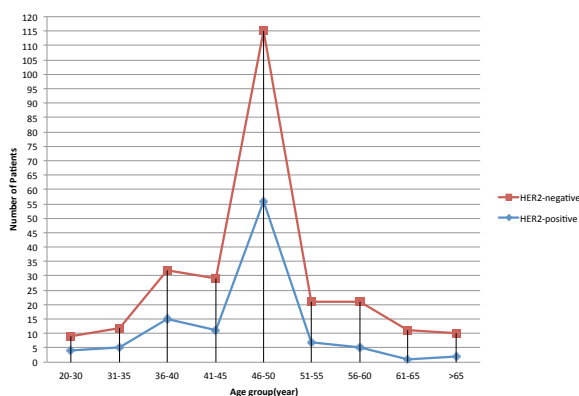
The Table 1 shows correlation between the number of variables with HER2 expression in NHL patients. The mean age at diagnosis for the patients with HER2-negative was higher than HER2-positive and this correlation was significant ( $P=0.012$ ). Also, there was significant correlation between histological grade, nuclear grade, lymph node metastasis, tumor size, ER status, PR status, p53 overexpression and Ki-67 index with HER2 expression. The patients with HER2-negative were more with higher grade and also percentage of patients with ER-negative, PR-negative, p53-positive, lymph node metastasis, tumor size  $< 2\text{cm}$  and Ki-67  $\geq 20\%$  were more in HER2-negative group vs. HER2-positive group.

We divided HER2-positive patients to two groups based on Ki-67 index (HER2-positive and Ki-67  $< 20\%$  (group 1) vs. HER2-positive and Ki-67  $\geq 20\%$  (group 2)) (Table 2). There was just significant correlation between two groups for ER status and p53 overexpression.

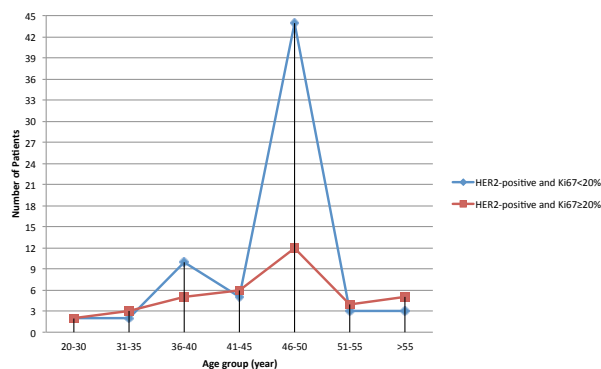
**Table 1. Correlation between Baseline Characteristics of breast cancer patients and HER2 expression (n=260)**

Variables	HER2-positive <sup>€</sup>	HER2-Negative <sup>€</sup>	P-value <sup>§</sup>
Age, year			
Mean	45.9	48.8	0.012
Range	24-73	26-82	
$> 50$	35(33%)	63(40.9%)	0.123
$\leq 50$	71(67%)	91(59.1%)	
Histological grade, n=237			
0.000			
I	15(14.9%)	32(23.5%)	
II	77(76.2%)	63(46.3%)	
III	9(8.9%)	41(30.1%)	
Nuclear grade, n=152			0.000
I	14(21.9%)	21(23.9%)	
II	49(76.6%)	41(46.6%)	
III	1(1.6%)	26(29.5%)	
Lymph node metastasis, n=242			0.003
Yes	74(74.7%)	81(56.6%)	
No	25(25.3%)	62(43.4%)	
Tumor size (cm), n=243			0.018
$\geq 2$	93(91.2%)	114(80.9%)	
$< 2$	9(8.8%)	27(19.1%)	
Vascular invasion, n=206			0.129
Yes	60(70.6%)	75(62%)	
No	25(29.4%)	46(38%)	
ER			0.000
Positive	77(72.6%)	79(51.3%)	
Negative	29(27.4%)	75(48.7%)	
PR			0.006
Positive	71(67%)	78(50.6%)	
Negative	35(33%)	76(49.4%)	
P53, n=231			0.001
Positive	30(32.3%)	74(53.6%)	
Negative	63(67.7%)	64(46.4%)	
Ki-67			0.006
$< 20\%$	69(65.1%)	75(48.7%)	
$\geq 20\%$	37(34.9%)	79(51.3%)	

All p-values were calculated by Chi-square test, except for mean age was with T-test. <sup>€</sup> IHC 0, 1+ and 2+ FISH negative were regarded as negative while IHC 3+ or 2+ FISH positive were regarded as positive



**Figure 1. Numbers of patients with Breast Cancer (HER2-positive vs. HER2-negative) Based on Age Groups**



**Figure 2. Numbers of Patients with Breast Cancer (HER2-positive and Ki-67  $< 20\%$  vs. HER2-positive and Ki-67  $\geq 20\%$ ) Based on Age Groups**

**Table 2. Correlations between Baseline Variables of breast cancer Patients with HER2 eExpression and Ki-67 Indices (n=106)**

Variables	Group 1*	Group 2**	P-value $\neq$
Age, year			
Mean	45.8	46.2	0.792
Range	28-64	24-73	
>50	26(37.7%)	9(24.3%)	0.119
$\leq$ 50	43(62.3%)	28(75.7%)	
Histological grade, n=101			0.097
I	11(16.7%)	4(11.4%)	
II	52(78.8%)	25(71.4%)	
III	3(4.5%)	6(17.1%)	
Lymph node metastasis, n=99			0.346
Yes	48(72.7%)	26(78.8%)	
No	18(27.3%)	7(21.2%)	
Tumor size (cm), n=102			0.394
$\geq$ 2	7(10.1%)	2(6.1%)	
<2	62(89.9%)	31(93.9%)	
Vascular invasion, n=85			0.56
Yes	39(70.9%)	21(70%)	
No	16(29.1%)	9(30%)	
ER			0.392
Positive	49(71%)	28(75.7%)	
Negative	20(29%)	9(24.3%)	
PR			0.019
Positive	41(59.4%)	30(81.1%)	
Negative	28(40.6%)	7(18.9%)	
P53, n=93			0.001
Positive	11(19%)	19(54.3%)	
Negative	47(81%)	16(45.7%)	

$\neq$ All p-values were calculated by Chi-square test, except for mean age was with T-test; \* Group 1: HER2-positive and Ki-67<20%, \*\*Group 2: HER2-positive and Ki-67 $\geq$ 20%; € IHC 0, 1+ and 2+ FISH negative were regarded as negative while IHC 3+ or 2+ FISH positive were regarded as positive.

Therefore, PR-negative or p53-positive was more in group 1 compared with group 2.

Figure 1 and Figure 2 show the prevalence of patients with BC based on age group. The most percentage of patients is located in 46-50 years.

## Discussion

Invasive breast carcinoma is the most common malignant tumor in women worldwide that trastuzumab therapy (Herceptin) use for breast tumors with HER2 overexpression (Payandeh et al., 2015b). HER2 belongs to a family of four transmembrane receptors involved in signal transduction pathways that regulate cell growth and differentiation. Overexpression or amplification of HER2 is associated with malignancy and a poor prognosis in BC (Yarden Y, 2001). HER2-positive BCs tended to be larger and were less likely to express estrogen receptors, and the incidence rate was higher in patients less than 40 years old (Swede et al., 2001). In our study, HER2-positive BCs occurred more in 46 to 50 years. A retrospective study assessed HER2-positive patients who were diagnosed with brain metastases. The median age of the 432 patients was 54 years (range, 20-86 years) (Hayashi et al., 2015). Our study showed that in patients with HER2-positive or HER2-negative, the mean age

was higher than 45 years. Camerini et al.(2011) reported that HER2 expression had not correlation with age, ER expression, PR expression, Ki-67 and just had with grade. A research (Shokouh et al., 2015), showed that significant correlation was observed between tumor grade with HER2 overexpression. Higher grades had significantly greater positivity in Ki-67 index and HER2-positive tumors had a higher Ki-67 index. Moreover, in young patients with breast carcinoma tumors, the rates of Ki-67 with the overexpression of HER2 and p53 mutations are higher, and it shows a more aggressive behavior than other tumors (Shokouh et al., 2015). The Ki-67 expression was associated significantly with histological grade, ER, PR, HER2, and p53 status (Li et al., 2014). A higher Ki-67 index ( $\geq$ 20%) significantly correlated with a higher grade of malignancy, such as negative ER/PR, higher grade, p53 overexpression and HER2- positive (Nishimura et al., 2010). Wiesner et al. (2009) reported that a Ki-67 proliferation index  $\geq$ 20% was found to be associated with all prognostic factors such ER, PR, HER2 and nuclear grade. High p53 expression was positively correlated with HER2 score and Ki-67 expression (Yamamoto et al., 2014). HER2 positivity was detected more significantly in patients with p53 overexpression (Lee et al., 2011). There was no significant correlation between HER-2 and age, tumor size, lymph node status, ER, and PR. There was significant correlation between HER-2 with tumor grade and p53 (Patnayak et al., 2015). In our study, there was significant correlation between histological grade, nuclear grade, lymph node metastasis, tumor size, ER status, PR status, p53 overexpression and Ki-67 index with HER2 expression. HER2 inversely correlated with higher grade, higher Ki-67 index ( $\geq$ 20%) and p53 positively and also directly with ER expression, PR expression, lymph node metastasis, lower tumor size. Also, in HER2-positive, patients with Ki-67 $\geq$ 20% had significantly higher ER expression and p53-positive compared to HER2-positive and Ki-67<20% had higher p53-positive.

In conclusions, Contrary to the results of other studies, HER2-positive tumors in our study had a lower Ki-67 index and p53-positive. Also, Ki-67 proliferation index  $\geq$ 20% in more studies associated with p53-positive. Therefore, tumors with HER2-positive and Ki-67 $\geq$ 20% had a more aggressive behavior compared to HER2-positive and Ki-67<20%.

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